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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTO/SB/05 (03-01)  
Approved for use through 10/31/2001. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Express Mail Label No. **4717-11300** Total Pages **13**

First Named Inventor or Application Identifier  
**Claire RICHTARCH**

Express Mail Label No. **EV 346 809 804 US**

ADDRESS TO: BOX PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification  
(preferred arrangement set forth below)

-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed Sponsored R&D  
-Reference to Sequence Listing, a table, or a  
computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113)(Figs. 1-8b) Total Sheets **1**  
Total Pages **2**
5. Oath or Declaration (executed)
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of  
Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS

**CUSTOMER NO. 28765**

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Allan A. Fanucci (Reg. No. 30,256)

Signature

*Stephan Filipich* (Reg. No. 33,384) for

Date

September 25, 2003

# WINSTON & STRAWN LLP

200 PARK AVENUE  
NEW YORK, NEW YORK 10166-4193  
212-294-6700

ATTORNEY DOCKET NO.: 4717-11300

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Claire RICHTARCH**

Title of the Invention: **METHOD OF PREPARING A SURFACE OF A SEMICONDUCTOR  
WAFER TO MAKE IT EPIREADY**

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	18	20	-0-	18.00	\$
Independent	1	-3-	-0-		\$
<b>Minimum Fee</b>					\$ 750.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
<b>Total</b>					\$ 750.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$
<b>TOTAL FILING FEE</b>					\$ 750.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of application no. FR03/08969 filed July 23, 2003 is claimed under 35 U.S.C. § 119. A certified copy is enclosed herewith.
- ☒ Please charge the required fee to Winston & Strawn Deposit Account No. **501-814**. A copy of this sheet is attached. Please charge any additional fee or deficiencies in fees if needed to Winston & Strawn LLP Deposit Account No. **501-814**.

Respectfully submitted,

September 25, 2003  
Date

*for* Stephen Filipich (Reg. No. 33,384)  
Allan A. Fanucci (Reg. No. 30,256)  
**WINSTON & STRAWN LLP**  
**CUSTOMER NO. 28765**

EXPRESS MAIL LIST

To:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on **September 25, 2003**

Express Mail No. <b>EV 346 809 804 US</b>		
Attorney Docket No.	Appln. Serial No.	Items - Documents filed on <b>September 25, 2003</b>
4717.11300	<b>to be assigned</b>	Application Transmittal Cover Sheet (1 page); Application Fee Transmittal Form (1 page); Specification (10 pages); Formal Drawings Figs. 1A-1B (1 page); Executed Declaration (2 pages); Executed Assignment and Cover Sheet (3 pages); Executed Power of Attorney (1 page); Information Disclosure Statement; Form PTO 1449; 2 refs.; Certified Copy of Priority Document

*Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of September 25, 2003*

NY:788260.36